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10595583 - GAU: 2873

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# Application Number 10595583 Filing Date 2006-04-28 First Named Inventor Hermann Bieg et al. Art Unit 2873 Examiner Name James R Greece Attorney Docket Number 72261.45

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| /JRG/                                      | 1          | 5234850                                 | JP                                 |  | А                         | 1993-09-10            | Canon KK  |          |  |             | <b>✓</b> |
| /JRG/                                      | 2          | 10111299                                | DE                                 |  | A1                        | 2002-09-12            | Carl Zeiss  |          |  |             | <b>✓</b> |
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|   |                                |                           |                                      | Filing Date  |                    |          |                  | 2006-04-28 |  |                   |  |  |
| INFORMATION DISCLOSURE  |                                |                           | First Named Inventor Herm            |              |                    | Herm     | nann Bieg et al. |            |  |                   |  |  |
| STATEMENT BY APPLICANT  |                                |                           |                                      | Art Unit     |                    |          |                  | 2873       |  |                   |  |  |
| ( Not for submission under 37 CFR 1.99)   |                                |                           | Exam                                 | iner Na      | me                 | Ja       | ımes R Greece    |            |  |                   |  |  |
|   |                                |                           |                                      |              | Attorn             | ey Doc   | ket Numbe        |            | 72261.45   |                   |  |  |
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| /JRG/   | 3                              | 1012559                   | 00                                   | JP           |                    | А        | 1995-05-1        | 5          | Canon KK   |                   | <b>I</b>   |  |
|   | 4                              | 2002203                   | 767                                  | JP           |                    | А        | 2002-07-1        | 9          | Nippon Kogaku KK   |                   | <b>V</b>   |  |
| 000000000000000000000000000000000000000   | 5                              | 0969327                   |                                      | EP           |                    | A2       | 2000-01-0        | 5 (        | Canon KK   |                   | <b>\lambda</b>   |  |
| V   | 6                              | 1995598                   | 44                                   | DE           |                    | A1       | 2001-05-2        | 3          | Carl Zeiss   |                   | <b>V</b>   |  |
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| Examiner Signature /James R Greece/ Date Considered 11/24/2008  |                                |                           |                                      |              |                    |          |                  |            |  |                   |  |  |
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| Standard S  | T.3). <sup>3</sup> F<br>cument | or Japanes<br>by the appr | e patent docume<br>opriate symbols a | nts, the ind | ication of         | the year | of the reign o   | f the E    | office that issued the docume<br>mperor must precede the ser<br>ard ST.16 if possible. <sup>5</sup> Applic | ial number of the | patent document.   |  |

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|--|---|---------|--------------------|----------------------------------|--|--|--|
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| STATEMENT BY APPLICANT   | Art Unit                                      | -       |                    |                                  |  |  |  |
| ( Not for submission under 37 CFR 1.99)  | Examiner Name                                 |         |                    |                                  |  |  |  |
|  | Attorney Docket Numb                          | per     | 72261.45           |                                  |  |  |  |
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See attached certification statement.

statement. See 37 CFR 1.97(e)(2).

Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

√ None

### **SIGNATURE**

any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

| Signature  | /Donald S. Showalter/ | Date (YYYY-MM-DD)   | 2006-07-07 |
|------------|-----------------------|---------------------|------------|
| Name/Print | Donald S. Showalter   | Registration Number | 33033      |

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

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**PATENT** 

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Lillian Pillitteri

Serial No.:

10/595,469

Filed:

April 21, 2006

Confirmation No.:

1165

Applicant:

Yim-Bun Patrick Kwan et al.

Title:

OPTICAL ASSEMBLY FOR PHOTOLITHOGRAPHY

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

# INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Pursuant to 37 C.F.R. §1.56, the disclosures listed on the attached Form PTO-1449 are called to the attention of the U.S. Patent and Trademark Office in connection with the above-identified application. Copies of the foreign references are enclosed. These disclosures are being submitted within three (3) months of the filing date or prior to the receipt of an Office Action on the merits pursuant to 37 C.F.R. § 1.97; thus no fee should be required. However, if a fee is required, please charge same to undersigned's Deposit Account No. 50-3744.

The Examiner is invited to review the subject matter of these references, and independently assess the teachings and materiality of each, if any, to the pending claims. Pursuant to 37 C.F.R. §1.97(h), no admission is made that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By:

Respectfully submitted,

GrayRobinson, P.A.

**CUSTOMER NO. 60474** 

Donald S. Showalter, Reg. No. 33,033

401 East Las Olas Blvd., Suite 1850 Ft. Lauderdale, Florida 33301 (954) 761-7473 (phone) (954) 761-8112 (fax)
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